



**PUBLIC HEALTH RESEARCH INSTITUTE**  
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## ***EMERGENCE OF ANTIBIOTIC RESISTANCE***

**Dr. Barry N. Kreiswirth**  
**Director, PHRI TB Center**

# ANTIBIOTIC RESISTANCE



## NATIONAL ACTION PLAN FOR COMBATING ANTIBIOTIC-RESISTANT BACTERIA

MARCH 2015



## Appendix

**TABLE 3: CDC's Antibiotic-Resistant Threats in the United States, 2013**

### URGENT Threat Level Pathogens (3)

#### *Clostridium difficile*

250,000 infections per year requiring hospitalization or affecting hospitalized patients.

14,000 deaths per year.

At least \$1 Billion in excess medical costs per year.

*C. difficile* deaths increased 400% between 2000-2007 because of the emergence of a strain resistant to a common antibiotic class (fluoroquinolones).

Almost half of infections occur in people younger than 65, but more than 90% of deaths occur in people 65 and older.

Half of *C. difficile* infections first show symptoms in hospitalized or recently hospitalized patients, and half show symptoms in nursing home patients or in people recently cared for in doctors' offices and clinics who received antibiotics.

The majority (71%) of pediatric *Clostridium difficile* infections, which are bacterial infections that cause severe diarrhea and are potentially life-threatening, occur among children in the general community, 73% were found to have recently taken antibiotics prescribed in doctor's offices for other outpatient settings.<sup>6</sup>

#### Carbapenem-Resistant *Enterobacteriaceae*\*

Out of ~140,000 healthcare-associated *Enterobacteriaceae* infections per year, more than 9,000 are caused by CRE (7,900 *CR-Klebsiella spp.*; 1,400 *CR-E. coli.*).

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44 States have had at least one type of CRE confirmed by CDC testing.

CRE are resistant to nearly all antibiotics including carbapenems—the antibiotic of last resort.

#### *Neisseria gonorrhoeae*\* (Notifiable to CDC)

*Neisseria gonorrhoeae* causes gonorrhea, is the second most common reportable infection in the United States, and is developing resistance to the cephalosporin antibiotics, the last line treatment option for this infection.

Of the 820,000 cases per year, 30% (246,000) now demonstrate resistance to at least one antibiotic.

If cephalosporin-resistant *N. gonorrhoeae* becomes widespread, the public health impact during a 10-year period is estimated to be 75,000 additional cases of pelvic inflammatory disease, 15,000 cases of epididymitis, and 222 additional HIV infections, with an estimated direct medical cost of at least \$235 million.

### SERIOUS Threat Level Pathogens (12)

#### Multidrug-Resistant *Acinetobacter*

12,000 healthcare-associated *Acinetobacter* infections occur in the U.S. of which 7,000 are multidrug-resistant

~ 500 deaths per year.

At least three different classes of antibiotics no longer cure resistant *Acinetobacter* infections.

#### Drug-Resistant *Campylobacter*

*Campylobacter* causes ~1.3 Million infections, 13,000 hospitalizations and 120 deaths each year; 310,000 (25%) drug-resistant *Campylobacter* infections are found each year.

*Campylobacter* drug resistance increased from 13% in 1997 to 25% in 2011.

*Campylobacter* spreads from animals to people through contaminated food, particularly raw or undercooked chicken and unpasteurized milk.

Antibiotic use in food animals can result in resistant *Campylobacter* than can spread to humans.

#### Fluconazole-Resistant *Candida*

Out of 46,000 *Candida* yeast infections per year, 3,400 (30%) of patients with bloodstream infections with drug-resistant (DR)-*Candida* die during their hospitalization.

CDC estimates that each case of *Candida* infection results in 3-13 days of additional hospitalization and a total of \$6,000-\$29,000 in direct healthcare costs per patient.

<sup>6</sup> Wendt, J.M. et al. *Clostridium difficile* Infection Among Children Across Diverse US Geographic Locations. *Pediatrics*. January 3, 2014.

# Antibiotic Resistance in the United States

Estimated minimum number of illnesses and deaths caused annually by antibiotic resistance\*:

**2 million people every year**

acquire antibiotic resistant infections



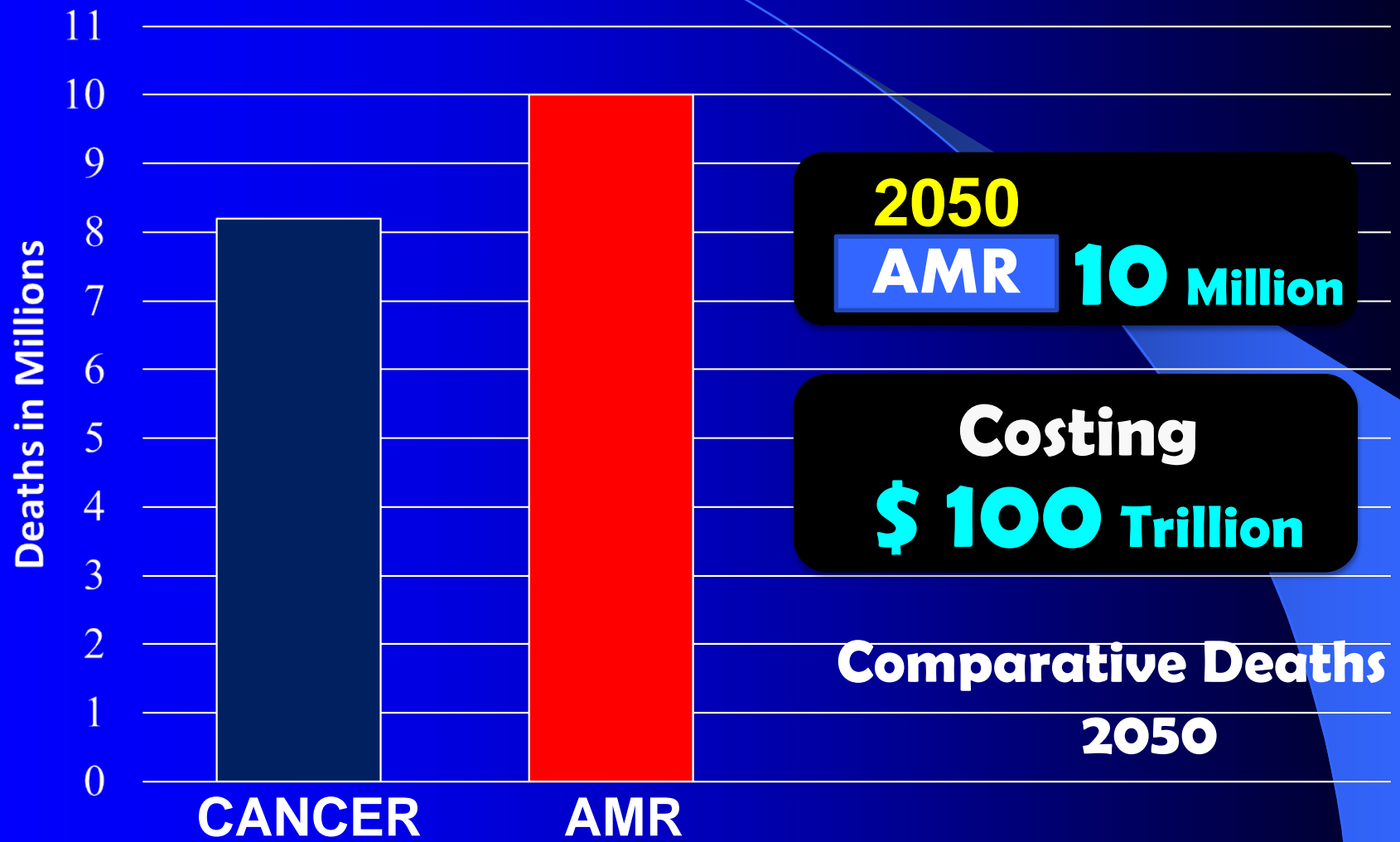
**23,000 people every year**

die from antibiotic resistant infections

\* bacteria and fungus included in this report

<https://www.cdc.gov/media/releases/2013/images/p0916-untreatable.jpg>

# Deaths from Drug-resistant infection Dramatically Rising





# WHO publishes list of bacteria for which new antibiotics are urgently needed

27 February 2017 |  
GENEVA

## Priority 1: Critical

- *Acinetobacter baumannii*, carbapenem-resistant
- *Pseudomonas aeruginosa*, carbapenem-resistant
- **Enterobacteriaceae, carbapenem-resistant, ESBL-producing**

## Priority 2: High

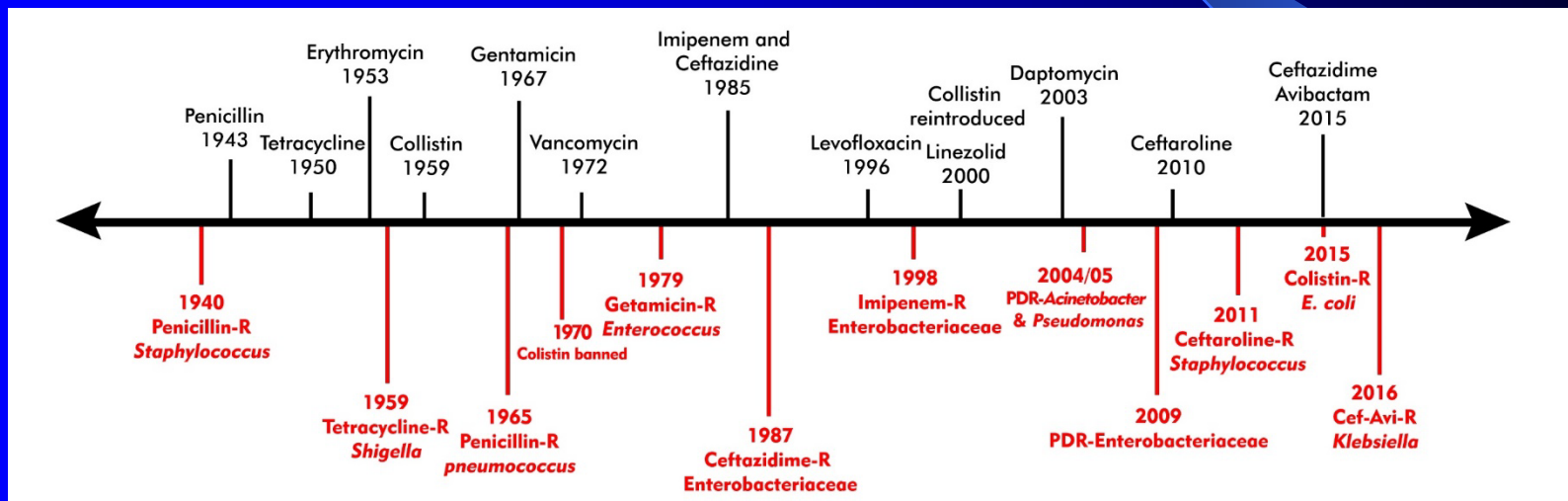
- *Enterococcus faecium*, vancomycin-resistant
- *Staphylococcus aureus*, methicillin-resistant, vancomycin-intermediate and resistant
- *Helicobacter pylori*, clarithromycin-resistant
- *Campylobacter* spp., fluoroquinolone-resistant
- *Salmonellae*, fluoroquinolone-resistant
- *Neisseria gonorrhoeae*, cephalosporin-resistant, fluoroquinolone-resistant

## Priority 3: Medium

- *Streptococcus pneumoniae*, penicillin-non-susceptible
- *Haemophilus influenzae*, ampicillin-resistant
- *Shigella* spp., fluoroquinolone-resistant

# Race against time: the introduction of new antibiotic and the emergence of resistance

## ANTIBIOTIC INTRODUCED

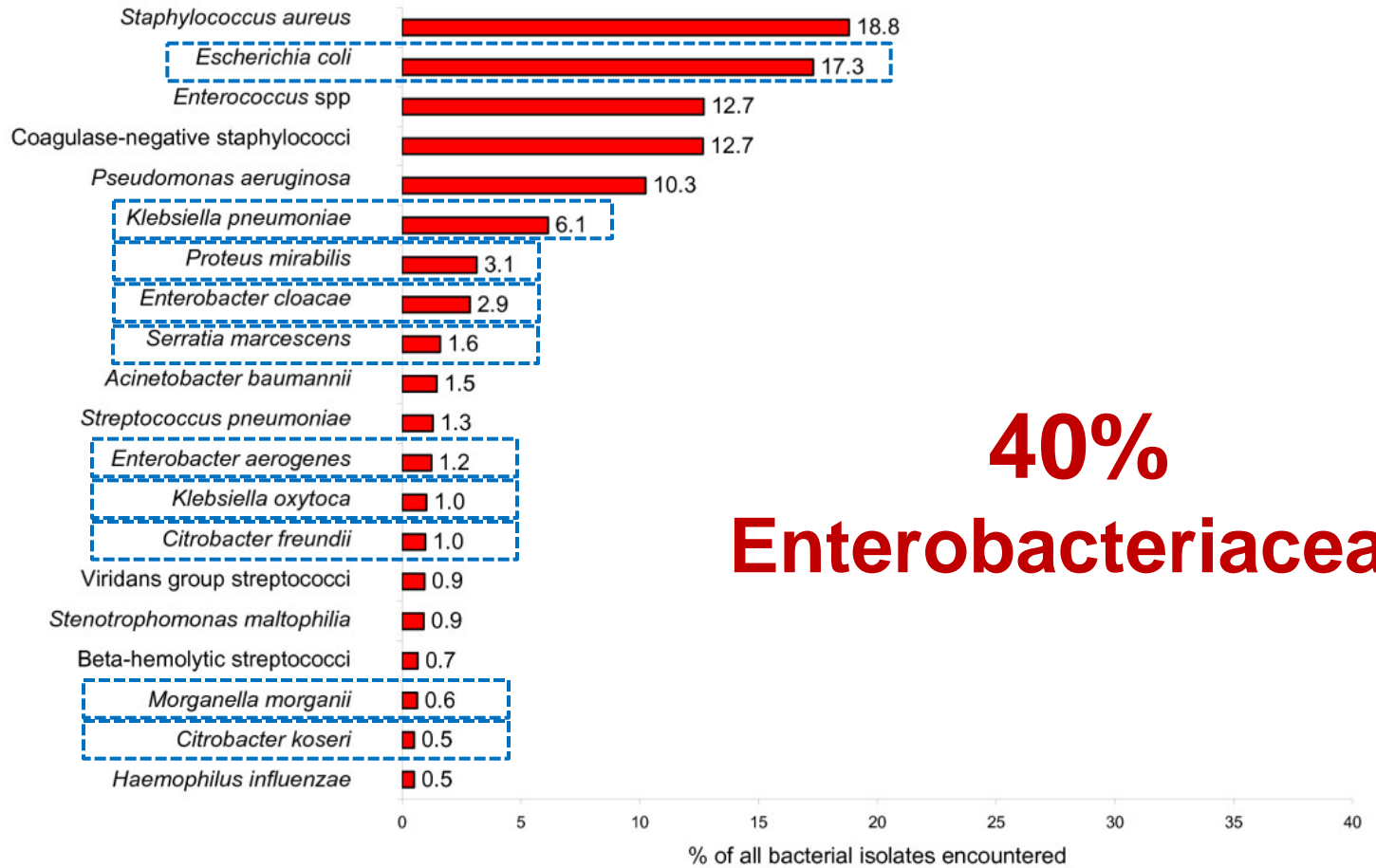


## ANTIBIOTIC RESISTANCE IDENTIFIED

# *Enterobacteriaceae*

- Gram-negative bacilli
- Gut flora, also found in soil/water
- Common causative agents of urinary tract infections, pneumonia, and blood stream infections
- Spread by hand carriage, contaminated food & water
- Examples: *Klebsiella*, *Enterobacter*, *Escherichia*, *Proteus*, *Salmonella*, *Shigella*

# Relative Frequency of Isolated Clinical Specimens from Inpatients in the US



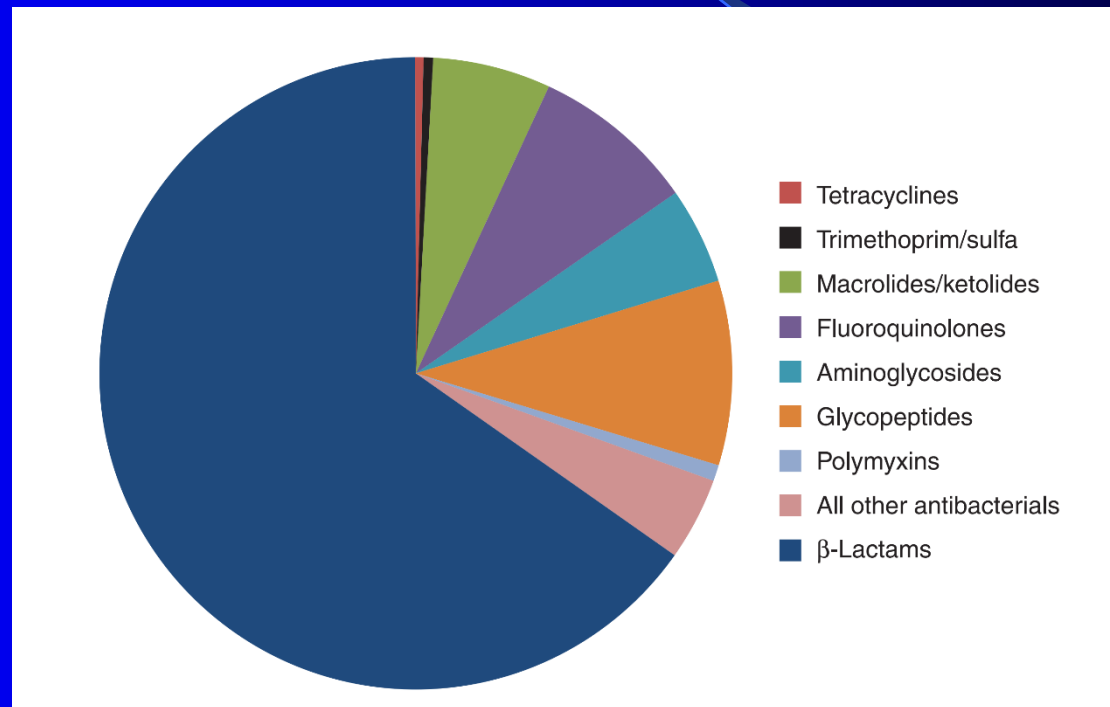


# Global Public Health Threat

Since the discovery of Penicillin,  $\beta$ -lactam agents continue to be the most important class of antibiotics.

The global emergence of carbapenem resistance has dramatically changed our antibiotic arsenal for the worse!

# Proportion of prescriptions in the United States for injectable antibiotics by class for years 2004–2014



**beta-lactams - 65.24%**

# $\beta$ -Lactams vs $\beta$ -Lactamases



There are more than 1,600  $\beta$ -lactamases reported

# $\beta$ -Lactam Antibiotics

- Narrow spectrum penicillins (4) – penicillin G
- Narrow spectrum penicillinase resistant penicillins (3) -methicillin
- Moderate spectrum penicillins (2)-amoxicillin
- Broad spectrum penicillins (1)-amoxicillin and clavulanic acid
- Extended spectrum penicillins (4)-piperacillin
- 1<sup>st</sup> generation cephalosporins (3)-cephalothin
- 2<sup>nd</sup> generation cephalosporins (2)-cefotetan
- 3<sup>rd</sup> generation cephalosporins (3)-ceftriaxone
- 4<sup>th</sup> generation cephalosporins (2)-cefepime
- Carbapenems (3)-meropenem
- Monobactams (1)-aztreonam
- Beta-lactamase inhibitors (3)-clavulanic acid

***bla*<sub>KPC</sub> encodes for a class A carbapenemase that hydrolyzes the entire class of  $\beta$ -lactam antibiotics**

# Carbapenem Resistance Determinants

- Carbapenemases class A
  - K. pneumoniae* carbapenemase (KPC)
  - GES, SME
- Carbapenemases class B
  - Metallo- $\beta$ -Lactamases: NDM-1, VIM, IMP
- Carbapenemases class D
  - Oxa23, Oxa24, Oxa 48, Oxa58

**CONFOUNDING PROBLEM:  
MOST OF THESE GENES ARE ON MOBILE ELEMENTS**

# LEUKEMIA & LYMPHOMA

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## Emergence of Carbapenem-resistant Enterobacteriaceae as Causes of Bloodstream Infections in Patients with Hematologic Malignancies

Michael J. Satlin, David P. Calfee, Liang Chen, Kathy A. Fauntleroy,  
Stephen J. Wilson, Stephen G. Jenkins, Eric J. Feldman,  
Gail J. Roboz, Tsiporah B. Shore, David C. Helfgott,  
Rosemary Soave, Barry N. Kreiswirth, Thomas J. Walsh

- July 2007-2010; Weill Cornell Medical College
- Patients with a hematologic malignancies and a CRE bloodstream infection
- 18 patients; 14 *K. pneumoniae*, 3 *E. cloacae*, 1 polymicrobial
- 10 patients died
- CRE had *bla*<sub>KPC</sub> plasmids
- A median of 55 hours elapsed between culture collection and receipt of an active agent
- Active agents limited to colistin, polymyxin, tigecycline and an aminoglycoside

# Molecular Epidemiology



# CRE – EPIDEMIOLOGY

- Northeast US the epicenter of the *bla*<sub>KPC</sub> ST258 strains which have spread to Israel, Greece, Italy and South America
- India and Pakistan the epicenter of the *bla*<sub>NDM-1</sub> epidemic and strains are spreading to UK and Europe
- Strains harboring *bla*<sub>IMP</sub>, *bla*<sub>VIM</sub> and *bla*<sub>OXA-48</sub> are commonly reported in Europe

# Carbapenemases : A Global Perspective

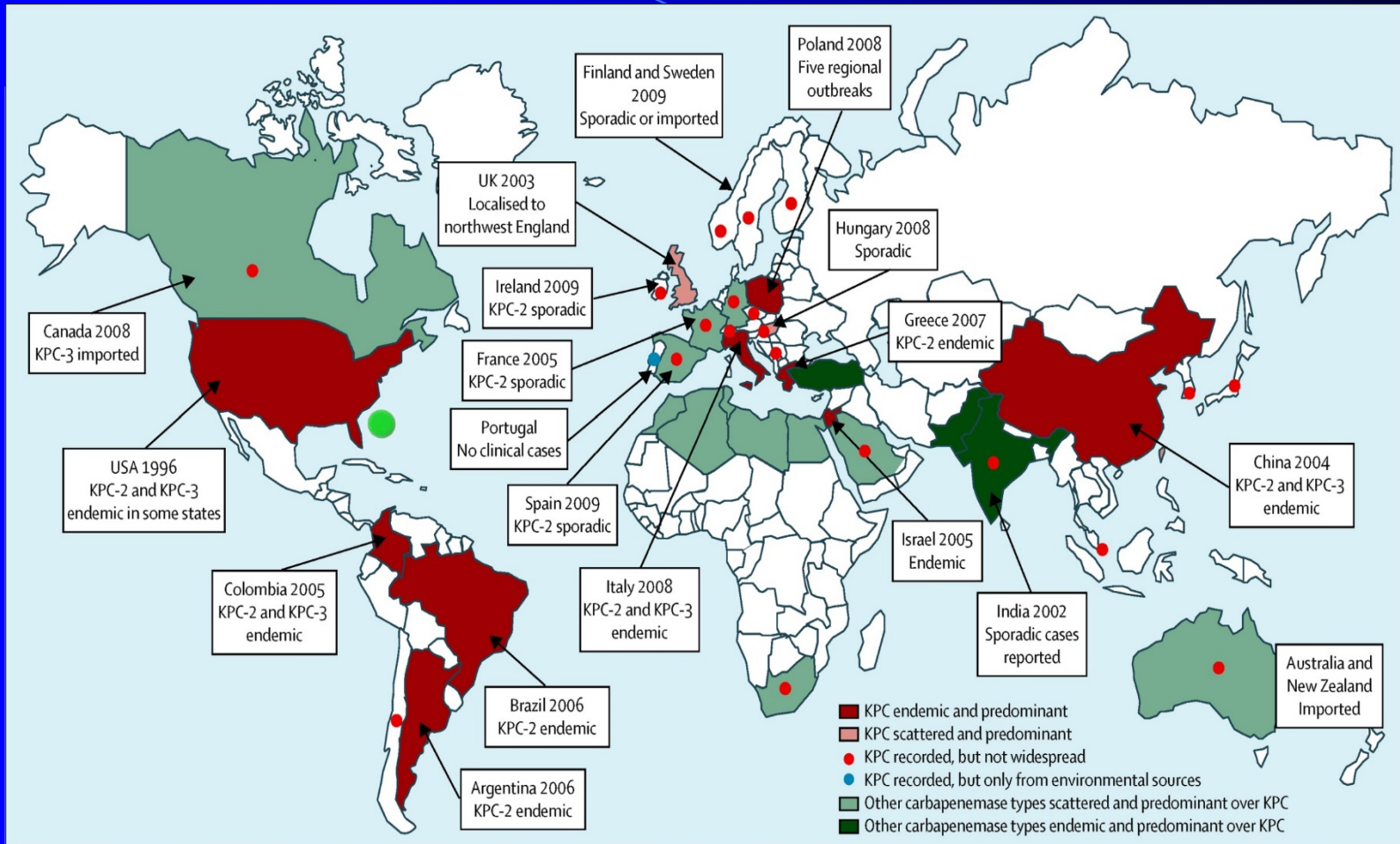
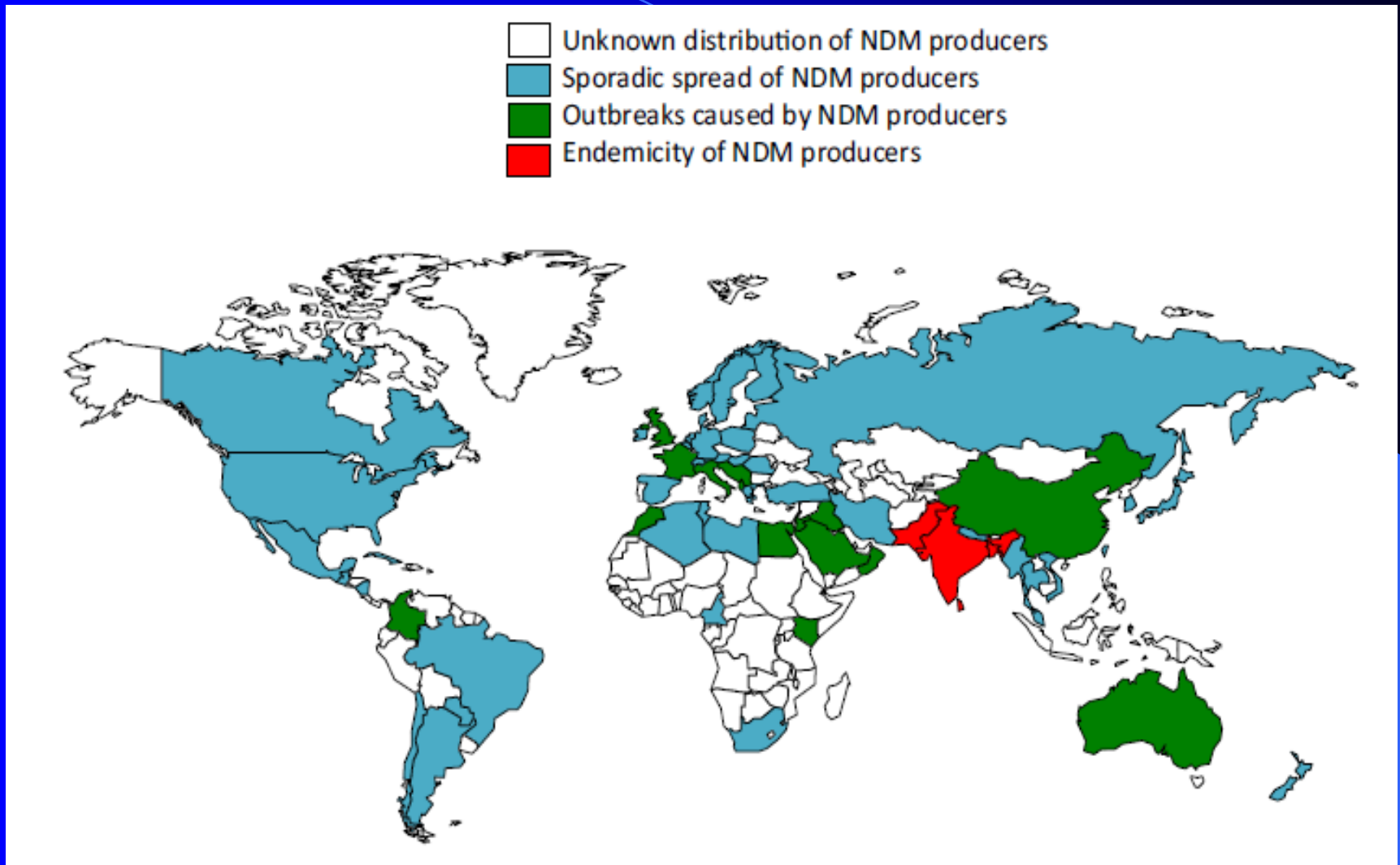


Figure: Epidemiological features of producers of *Klebsiella pneumoniae* carbapenemases by country of origin  
 Other carbapenemase types include VIM, OXA-48, or NDM. KPC=*Klebsiella pneumoniae* carbapenemase.

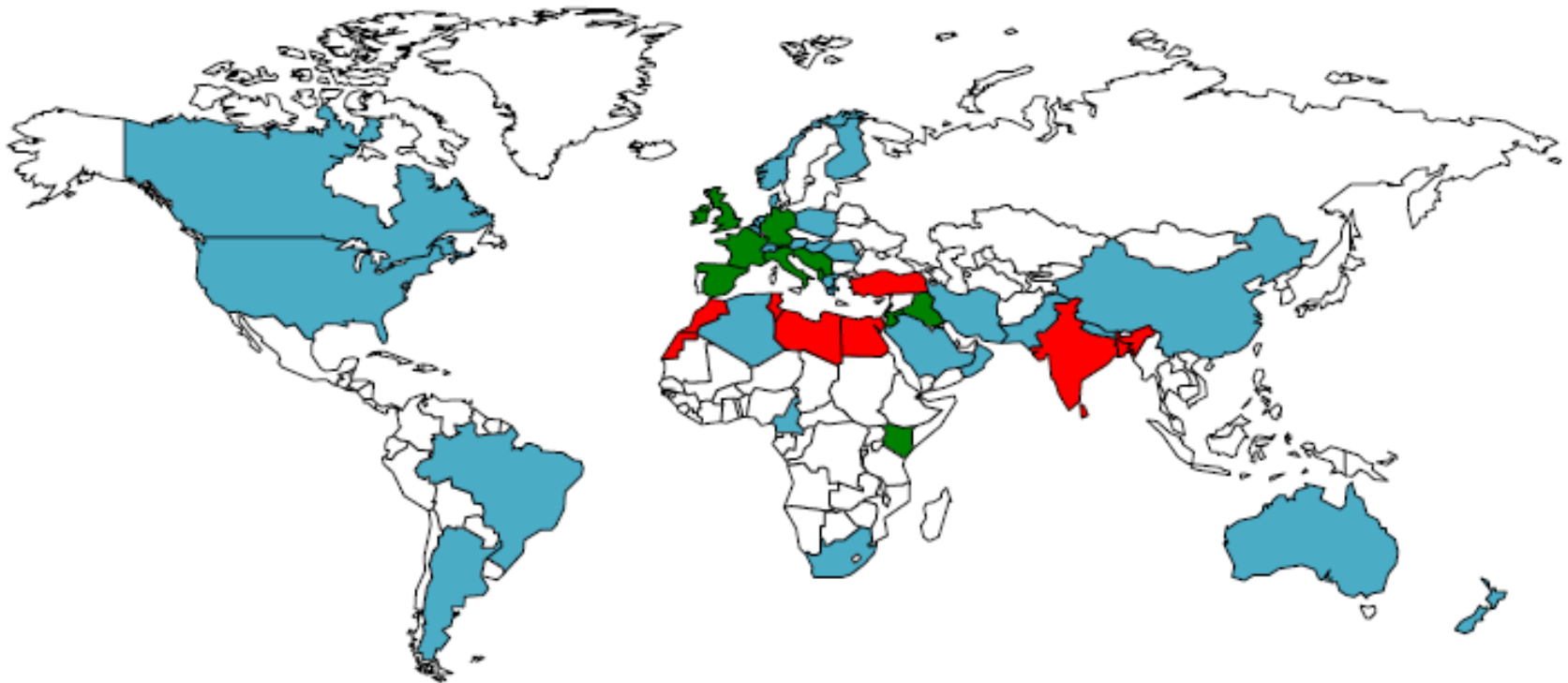
# NDM Producers



Nordman and Poirel. *Clin Microbiol Infect*, 2014:20-821

# OXA-48 Producers

- Unknown distribution of OXA-48 producers
- Sporadic spread of OXA-48 producers
- Outbreaks caused by OXA-48 producers
- Endemicity of OXA-48 producers

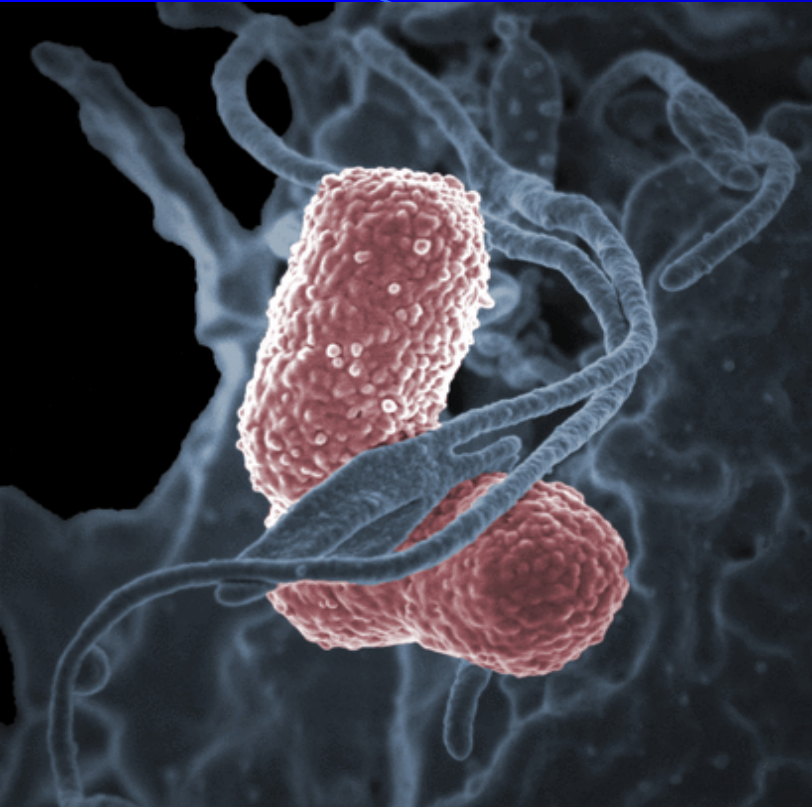


# Carbapenem Resistant *Klebsiella pneumoniae*

- Since 2005, NYC/NJ hospitals have been the epicenter for the emergence and spread of KPCs
- Have started to spread across the US
- Resistance is linked to *bla*<sub>KPC</sub> gene
- *bla*<sub>KPC</sub> gene on Tn4401 harbored on large plasmids
- Plasmids are transmissible in Enterobacteriaceae
- Strains are approaching pan-resistance
- Molecular epidemiology not defined
- ~50% mortality among transplant patients

# Molecular dissection of the evolution of carbapenem-resistant multilocus sequence type 258 *Klebsiella pneumoniae*

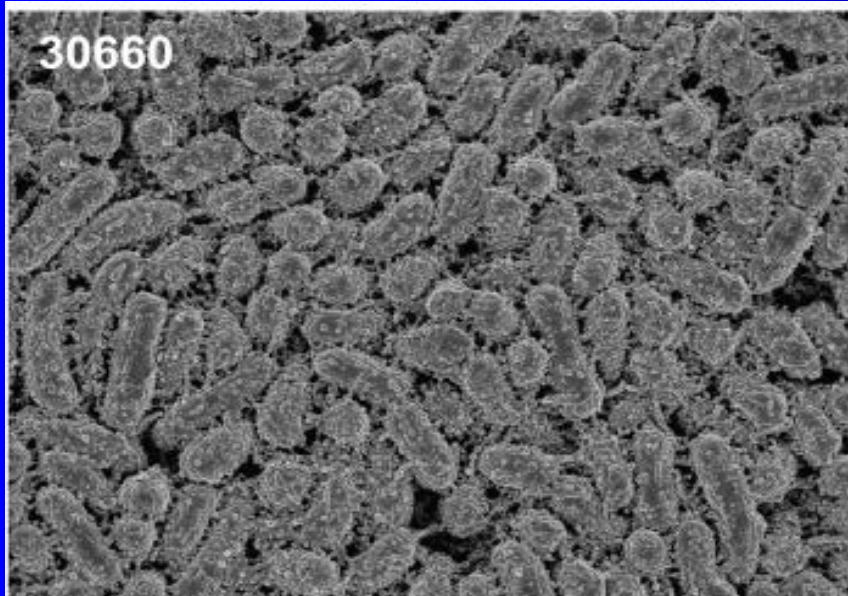
Frank R. DeLeo<sup>a,1</sup>, Liang Chen<sup>b,1</sup>, Stephen F. Porcella<sup>c</sup>, Craig A. Martens<sup>c</sup>, Scott D. Kobayashi<sup>a</sup>, Adeline R. Porter<sup>a</sup>, Kalyan D. Chavda<sup>b</sup>, Michael R. Jacobs<sup>d</sup>, Barun Mathema<sup>b</sup>, Randall J. Olsen<sup>e,f</sup>, Robert A. Bonomo<sup>g,h</sup>, James M. Musser<sup>e,f</sup>, and Barry N. Kreiswirth<sup>b,2</sup>



**Is ST258 a single genetic clone that has disseminated world wide?**

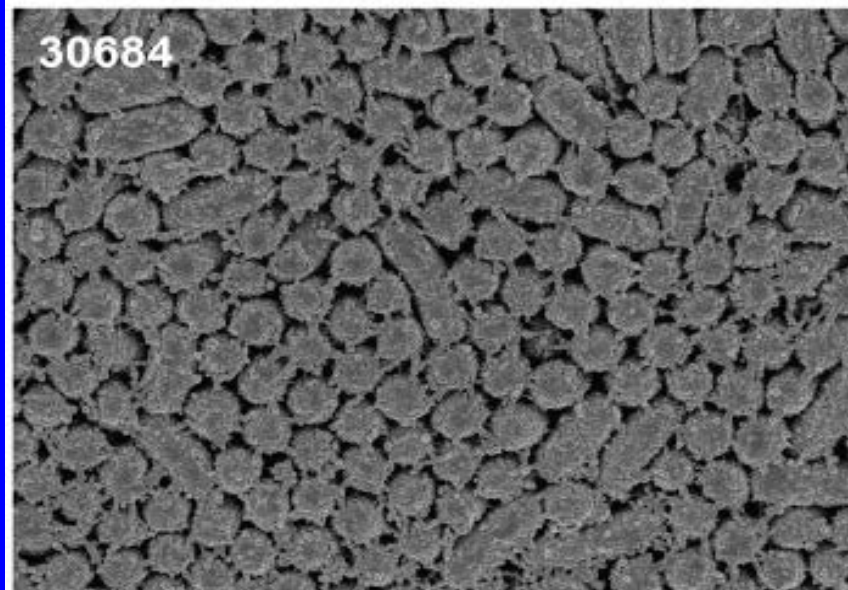
**PNAS. 2014;111;4983-93**

# Comparative Genomic Analysis



**Genome = 5,266,518 bp**  
**Prophages = 8**  
**IS-elements = 22**  
**Conjugative elements = 2**

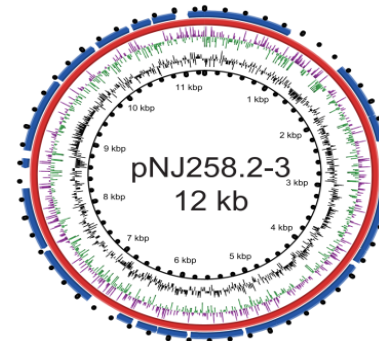
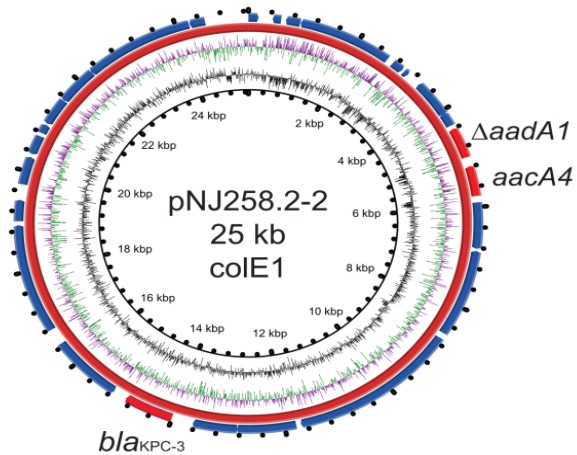
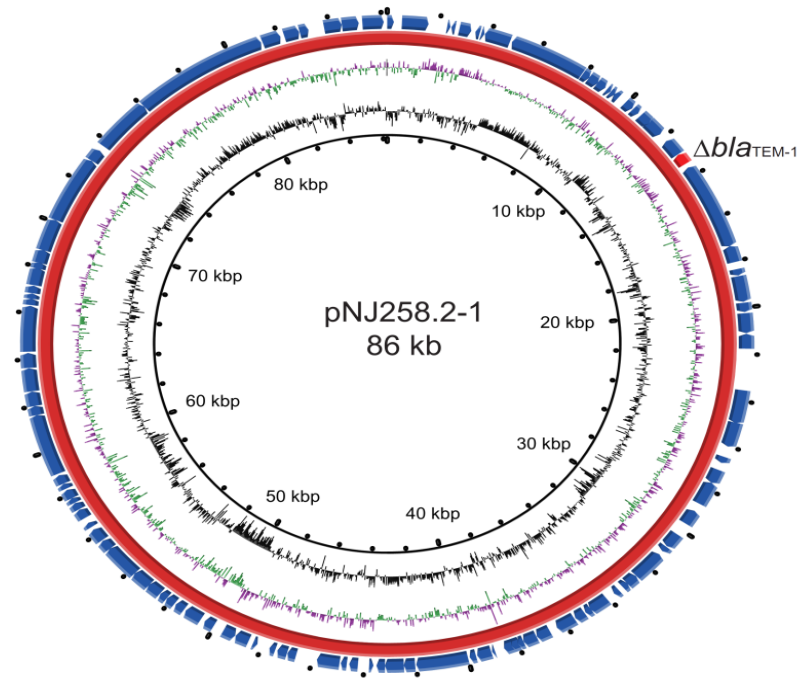
**Plasmids = 5**  
**12 – 147 kb**



**Genome = 5,293,301 bp**  
**Prophages = 7**  
**IS-elements = 19**  
**Conjugative elements = 2**

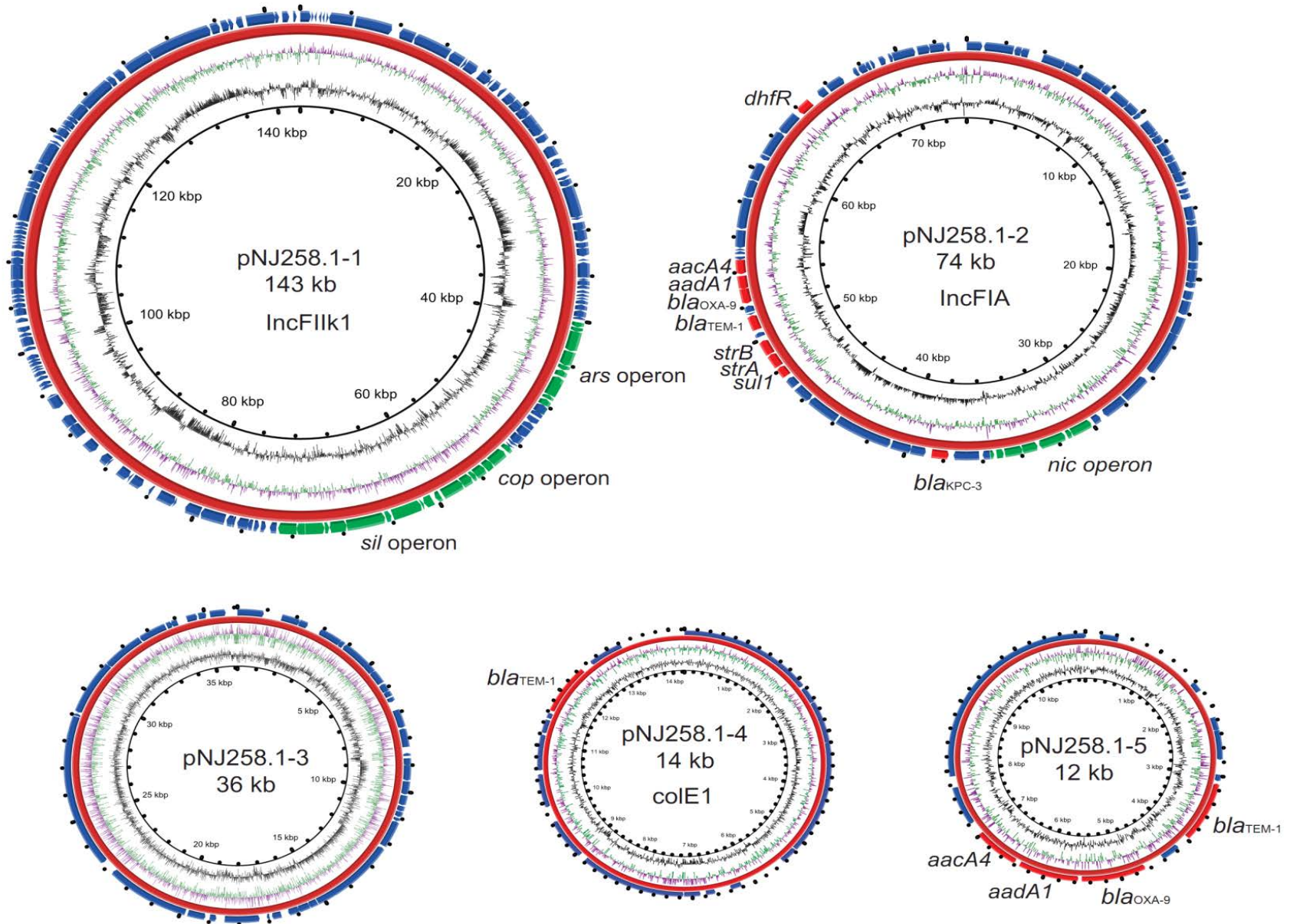
**Plasmids = 3**  
**12 – 86 kb**

# 30684– Plasmid Content





# 30660 – PLASMID CONTENT



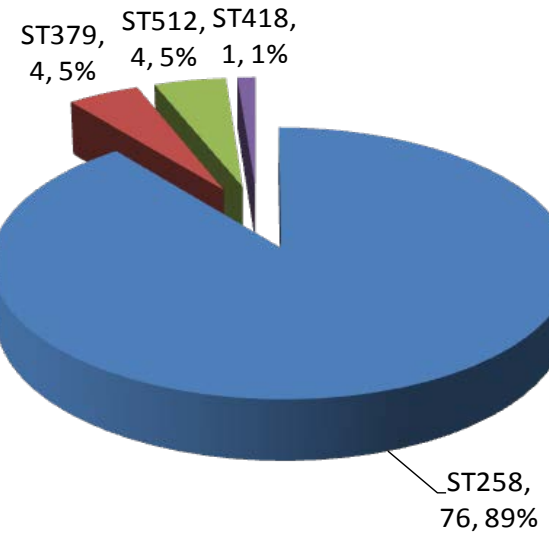
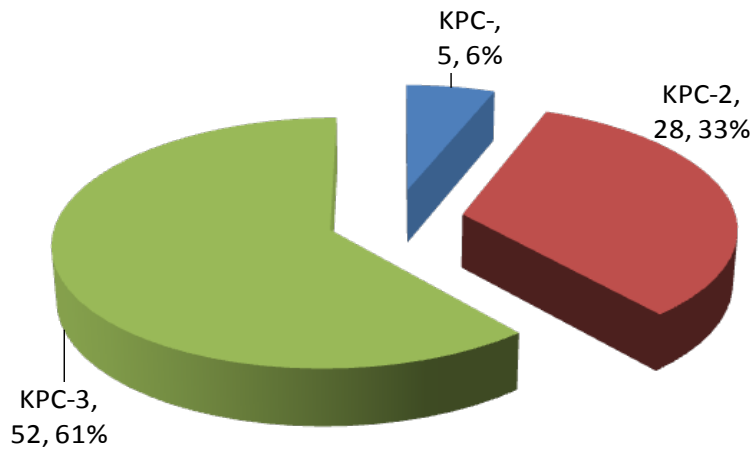
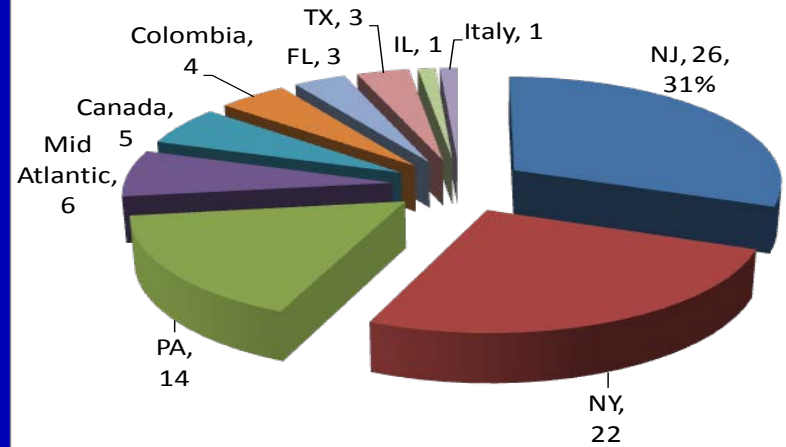
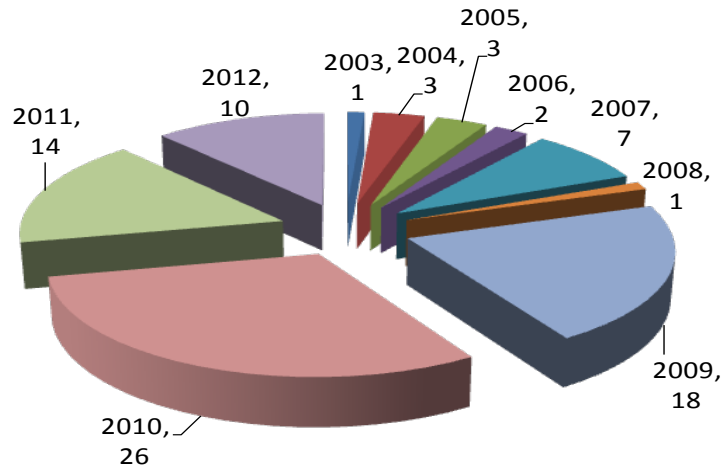
# Initial Conclusion

Complete genome analysis suggest that *bla*<sub>KPC</sub> harboring ST258 are clonal but contain different plasmids

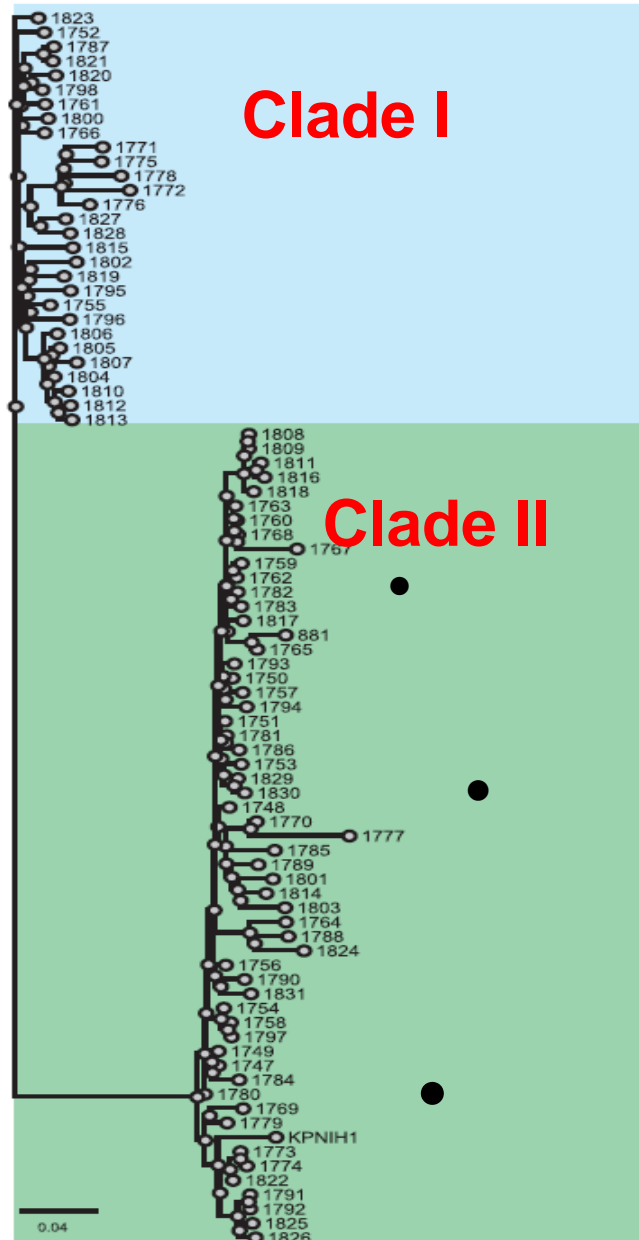
The background is a solid blue color. A white arc starts from the top left and curves towards the right. A white triangle is positioned on the right side, pointing towards the center of the slide.

# Comparative Analysis on 85 *K. pneumoniae* Genomes

# 85 – Selected *K. pneumoniae*

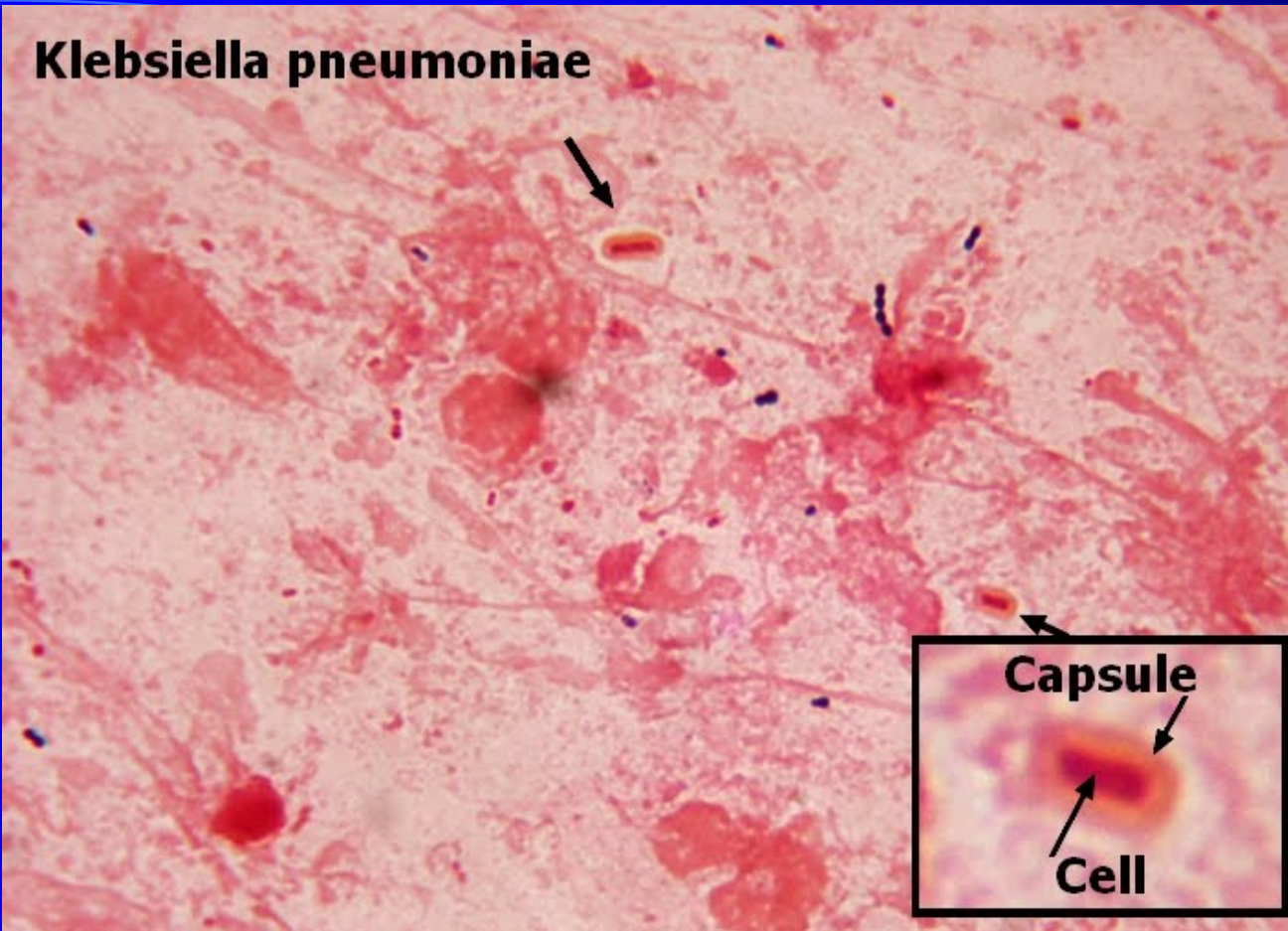


# PHYLOGENETIC ANALYSIS OF ST258 *K. pneumoniae*



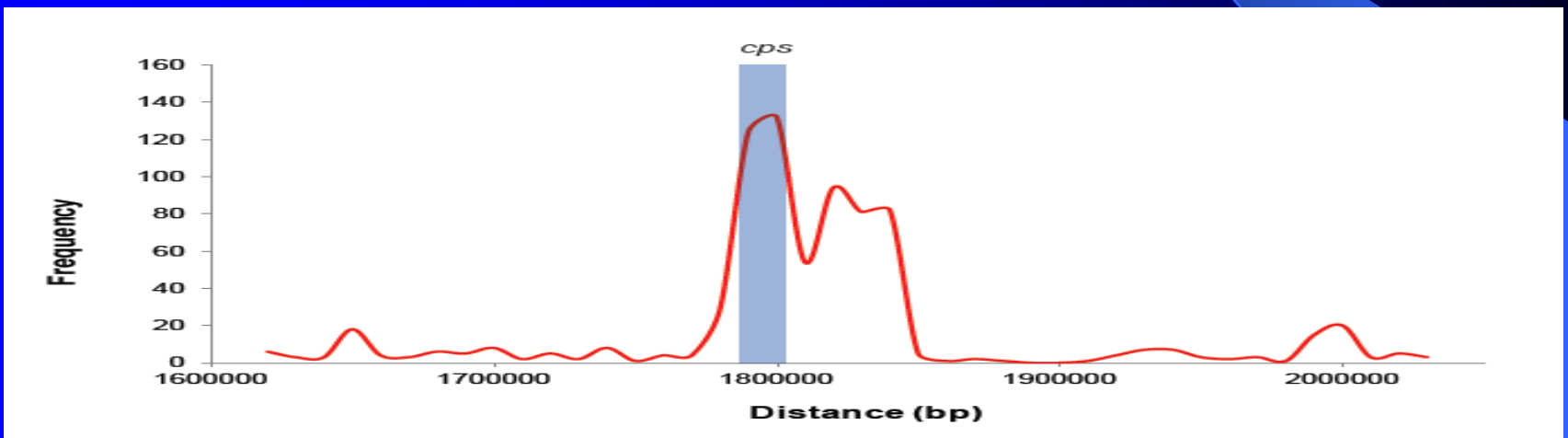
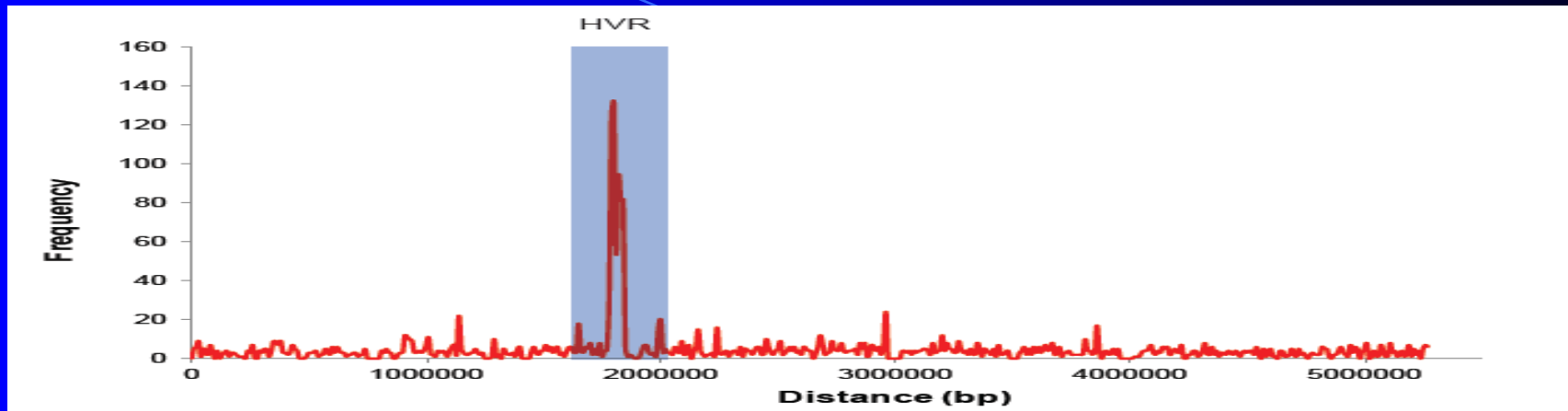
- Comparative whole genome analysis on 85 strains showed that ST258 can be distinguished in two subclones: Clades I and II
- ~350 SNP differences among the strains ranging from 116 to 784 SNPs
- Genetic divergence between the two clades is found in a ~215 kb region of divergence which contains the genes encoding the capsular polysaccharide biosynthesis

## **Klebsiella pneumoniae**



Literature indicates that the *K. pneumoniae* capsule polysaccharide (CPS) and the lipopolysaccharide promote resistance to phagocytosis *in vitro* and/or killing by serum complement

# SNP Analysis in the Hypervariable Region



The region of differences, including the capsular and lipid polysaccharide pathway genes, are the result of chromosomal replacement from other *K. pneumoniae* STs

# Summary of The US Epidemic

- There are at least two major clades of ST258 *K. pneumoniae* harboring  $bla_{KPC}$  resistance and clade I is associated with  $bla_{KPC-2}$  and clade II with  $bla_{KPC-3}$
- The diversity between the two clades is in the HVR and specifically the ~20 kb capsular polysaccharide region which evolves from recombination with other ST lineages
- CPS switching is a common recombination mechanism in *K. pneumoniae*
- $bla_{KPC}$  is mobilized on Tn4401 which is found on numerous conjugative plasmids and four major sub-clones of ST258 harbor: IncI2, IncFIA and IncFIIK2 , IncR



# Clinical Dilemma

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2017:61;1-13



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and Chemotherapy®

## Multicenter Clinical and Molecular Epidemiological Analysis of Bacteremia Due to Carbapenem-Resistant *Enterobacteriaceae* (CRE) in the CRE Epicenter of the United States

Michael J. Satlin,<sup>a</sup> Liang Chen,<sup>d</sup> Gopi Patel,<sup>e</sup> Angela Gomez-Simmonds,<sup>g</sup>  
Gregory Weston,<sup>h</sup> Angela C. Kim,<sup>k</sup> Susan K. Seo,<sup>m</sup> Marnie E. Rosenthal,<sup>o,w</sup>  
Steven J. Sperber,<sup>q</sup> Stephen G. Jenkins,<sup>b</sup> Camille L. Hamula,<sup>f</sup>  
Anne-Catrin Uhlemann,<sup>g</sup> Michael H. Levi,<sup>i</sup> Bettina C. Fries,<sup>h,j</sup> Yi-Wei Tang,<sup>n</sup>  
Stefan Juretschko,<sup>l</sup> Albert D. Rojzman,<sup>p</sup> Tao Hong,<sup>r</sup> Barun Mathema,<sup>s</sup>  
Michael R. Jacobs,<sup>u</sup> Thomas J. Walsh,<sup>a,c</sup> Robert A. Bonomo,<sup>t,v</sup> Barry N. Kreiswirth<sup>d</sup>

- 121 Bacteremia patients
- Malignancy: 40%
- Diabetes: 30%
- Congestive heart failure: 17%
- Transplant recipients: 17% (12% solid organ)
- 47 hours until appropriate therapy
- 30 day mortality: 49%
- 84% were ST258

# Treatment Regimens

**TABLE 5** Comparisons of 30-day mortality rates after CRE bacteremia by a definitive antimicrobial regimen

Definitive antimicrobial regimen	30-day mortality rate (%)
Monotherapy <sup>a</sup> (n = 55)	38
Polymyxin (n = 25)	40
Polymyxin B (n = 18)	39
Colistin (n = 7)	43
Tigecycline (n = 17)	39
Aminoglycoside (n = 11)	43
With a carbapenem (n = 22)	50
Without a carbapenem (n = 33)	30
Combination therapy <sup>b</sup> (n = 43)	
Polymyxin-tigecycline (n = 18)	56
Aminoglycoside-tigecycline (n = 9)	33
With a carbapenem (n = 16)	62
Without a carbapenem (n = 27)	44
Regimens with carbapenems (n = 38)	55
Regimens without carbapenems (n = 60)	37

<sup>a</sup>Use of only one active antimicrobial agent (an agent to which the CRE tested susceptible *in vitro*).

<sup>b</sup>Use of at least two active antimicrobial agents.

## Major Clinical Challenge:

New therapies to treat highly drug resistant strains in highly vulnerable immunosuppressed populations

- Soft and solid tissue organ transplant patients
  - Cancer patients
  - Adult cystic fibrosis patients
  - Nosocomial patients
- 
- Combinations with “old” drugs and the repurposing of beta-lactams using inhibitors

# AVIBACTAM



MINIREVIEW

## New $\beta$ -Lactamase Inhibitors: a Therapeutic Renaissance in an MDR World

Sarah M. Drawz,<sup>2</sup> Krisztina M. Papp-Wallace,<sup>2,c</sup> Robert A. Bonomo<sup>2,c,d,e</sup>

Department of Laboratory Medicine and Pathology, University of Minnesota, Minneapolis, Minnesota, USA<sup>2</sup>; Research Service, Louis Stokes Cleveland Department of Veterans Affairs, Cleveland, Ohio, USA<sup>2</sup>; Departments of Medicine,<sup>c</sup> Pharmacology,<sup>a</sup> and Molecular Biology and Microbiology,<sup>e</sup> Case Western Reserve University, Cleveland, Ohio, USA

TABLE 1 MICs of  $\beta$ -lactam and  $\beta$ -lactam-avibactam combinations against select pathogens<sup>a</sup>

Pathogen	MIC ( $\mu\text{g/ml}$ ) <sup>b</sup>		CPT	CPT-AVI	ATM	ATM-AVI
	CAZ	CAZ-AVI				
<i>K. pneumoniae</i> with OXA-48	256/512	0.25/0.5				
<i>K. pneumoniae</i> with CTX-M-15	8/64	0.06/0.25				
<i>K. pneumoniae</i> with KPC-2	$\geq 512/\geq 512$	0.25/1			$\geq 512/\geq 512$	$\leq 0.06/\leq 0.06$
<i>E. coli</i> with ESBL	16/64	0.12/0.25				
<i>E. coli</i> with AmpC	16/64	0.12/0.5				
<i>E. coli</i> with OXA-48	4	<0.008				
<i>E. coli</i> with IMP-1	256	64				
<i>Enterobacteriaceae</i> with multiple $\beta$ -lactamases, including KPC-2			>64/>64	0.5/2		
<i>Enterobacteriaceae</i> with multiple $\beta$ -lactamases, including AmpC			256/>256	0.5/2		
<i>Enterobacteriaceae</i> with VIM	64–512	64–512			0.25–256	0.12–0.5
<i>P. aeruginosa</i>	8/64	4/8	>64/>64	16/>32	16/32	8/32
<i>P. aeruginosa</i> with ESBL PER-1	128/128	4/16				
<i>A. baumannii</i>			>64/>64	32/>32		
<i>A. baumannii</i> with PER-1, OXA-51, and OXA-58	128/ $\geq 512$	32/256				
<i>S. aureus</i>			1/2	1/2		

<sup>a</sup> Data were adapted from references 15, 16, 19, 20, 21, and 24. Avibactam was added at 4  $\mu\text{g/ml}$ . Abbreviations: CAZ, ceftazidime; AVI, avibactam; CPT, ceftaroline; ATM, aztreonam.

<sup>b</sup> Numbers separated by a forward slash indicate MIC<sub>50</sub>/MIC<sub>90</sub> values. Empty cells indicate that values were not reported.

# Ceftazidime-Avibactam

Ceftazidime-avibactam (by Etest): 100/101 isolates tested were susceptible (99%) based on the CLSI ceftazidime breakpoint of 4 µg/mL

- MIC<sub>50</sub> = 2 µg/mL
- MIC<sub>90</sub> = 4 µg/mL (susceptible breakpoint for ceftazidime)
- KPC-2 *Kp* (n=44): only 1 (2%) with MIC of 4 µg/mL
- KPC-3 *Kp* (n=48): 19 (40%) with MIC of 4 µg/mL
- 1 isolate had an MIC of 8 µg/mL



Transmission

## Major Infection Control Challenge:

Carbapenem resistant strains of Enterobacteriaceae:  
*K. pneumoniae*, *Enterobacter spp.* and *E. coli*

- Spread as CRE resistant clones
- Spread their plasmids and create new CRE clones
- To investigate a suspected outbreak, resolution at the whole genome sequencing level and plasmid analysis is necessary



# *Klebsiella pneumoniae* Carbapenemase (KPC)-Producing *K. pneumoniae* at a Single Institution: Insights into Endemicity from Whole-Genome Sequencing

Amy J. Mathers,<sup>a,b</sup> Nicole Stoesser,<sup>c</sup> Anna E. Sheppard,<sup>c</sup> Louise Pankhurst,<sup>c</sup> Adam Giess,<sup>c</sup> Anthony J. Yeh,<sup>a\*</sup> Xavier Didelot,<sup>d</sup>  
Stephen D. Turner,<sup>e</sup> Robert Sebra,<sup>f</sup> Andrew Kasarskis,<sup>f</sup> Tim Peto,<sup>c</sup> Derrick Crook,<sup>c,g</sup> Costi D. Sifri<sup>a,h</sup>

**Antimicrobial Agents and Chemotherapy. 2015:59;1656**

**5 year surveillance, one hospital  
37 patients with KPC producing *K. pneumoniae*  
Whole Genome Sequencing of each strain**

**16 Sequence types  
2 major plasmids  
KPC-2 and KPC-3  
2 clonal types – 11 pts**

**Source of acquisition**

High-risk for within-UVaHS/LTACH acquisition

Indeterminate-risk for within-UVaHS/LTACH acquisition

Imported strains

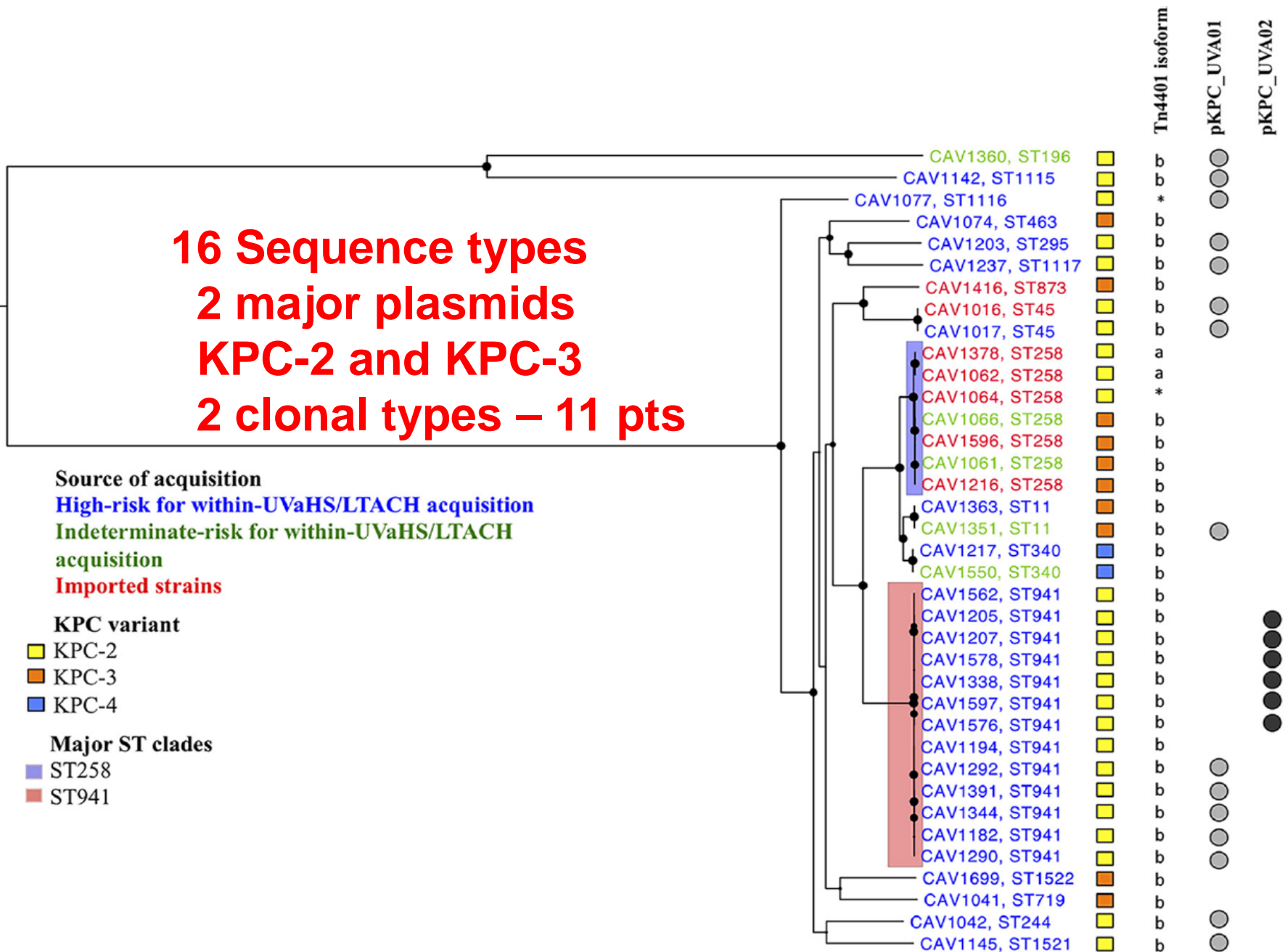
**KPC variant**

- KPC-2
- KPC-3
- KPC-4

**Major ST clades**

- ST258
- ST941

16000 SNVs




# Plasmid Evolution in a Single Patient



RESEARCH ARTICLE

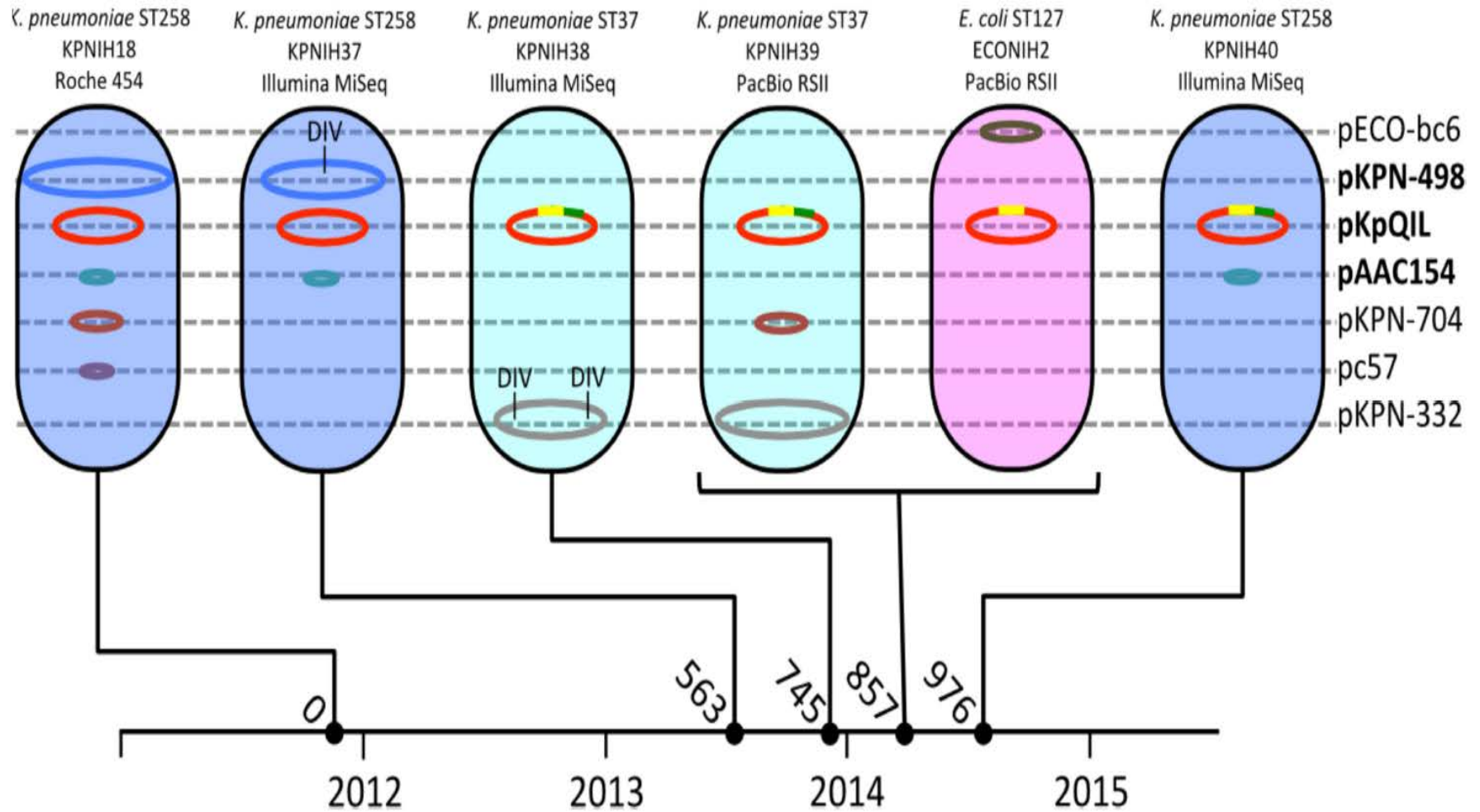


## Plasmid Dynamics in KPC-Positive *Klebsiella pneumoniae* during Long-Term Patient Colonization

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Conlan et al. *M Bio*, 2016:7;e00742-16

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## IN SUMMARY

- CRE epidemic is global and the US is currently only experiencing the spread of *bla*<sub>KPC</sub> plasmids
- There are limited drugs to treat CRE and the infected populations are high risk patients
- $\beta$ -lactam inhibitors, avibactam, new paradigm
- Spread of CRE is both the movement of resistant clones and the continual creation and spread of resistance plasmids
- Controlling this epidemic will require better diagnostics and improved therapy