Health Care Professional Responsibility and Reporting Enhancement Act Requirements

Stefanie Mozgai
Assistant Commissioner

Cathleen D. Bennett
Commissioner
Department of Health
• All health care entities must be in compliance with 8:30 The Rules for Implementing the Health Care Professional Responsibility and Reporting Enhancement Act
Health care entities shall:

- Notify the Clearinghouse Coordinator
- Use the required form to notify the Coordinator
- Cooperate with requests for information by the Division, a board, or the Medical Review Panel
- Execute a joint report to the Coordinator with a healthcare professional
Health care entities shall:

- Provide a copy of the report filed with the Coordinator to the health care professional who is subject to the report

- Provide a copy of the notice submitted to the Coordinator to a staffing agency or healthcare services firm when the subject of the report is providing services to the health care entity pursuant to a contract with a staffing agency
A facility inquiring about a healthcare professional shall:

- Use the CN-9 which is available on the Department’s website
- Use the CN-9 for making inquiries to health care entities other than facilities (ie agencies)
A facility receiving an inquiry about a healthcare professional shall:

• A facility that received a validly executed inquiry form shall complete and return the form and any other information to the inquiring health care entity within 8 business days of receipt of the inquiry form.

• A facility that received a validly executed written inquiry that was not on the CN-9, shall complete and return the required form within 8 business days of receipt of the inquiry and attach the written request to the response.
A health care entity shall:

- Maintain records of all complaints of events related to patient care provided by, and disciplinary proceedings or actions against, a health care professional who the entity employs/employed or has/had an affiliation for a period of 7 years from the date of the occurrence that triggered the creation of the record.
A health care entity shall:

- Maintain all records and source data relating to the entity’s mortality, morbidity, complication, infection, and readmission rates that relate to a specific health care professional for a period of 4 years from the date of the occurrence that triggered the creation of the record.
CIVIL MONETARY PENALTIES

- $500 per violation may be assessed per day for not reporting to the Clearinghouse Coordinator

- $250 per violation for failing to cooperate with a request for information

- $1000 per violation for an entity failing to execute a joint report with a healthcare professional
CIVIL MONETARY PENALTIES

• $250 per violation may be assessed per day for failing to respond in a timely and/or truthful manner to an inquiry

• $500 per violation may be assessed per day for failing to comply with a request for records

• $1000 per violation for failing to maintain records
THANK YOU

stefanie.mozgai@doh.nj.gov