

# Health Care Professional Responsibility and Reporting Enhancement Act Requirements

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- **All health care entities must be in compliance with 8:30 The Rules for Implementing the Health Care Professional Responsibility and Reporting Enhancement Act**

## **Health care entities shall:**

- **Notify the Clearinghouse Coordinator**
- **Use the required form to notify the Coordinator**
- **Cooperate with requests for information by the Division, a board, or the Medical Review Panel**
- **Execute a joint report to the Coordinator with a healthcare professional**

## **Health care entities shall:**

- **Provide a copy of the report filed with the Coordinator to the health care professional who is subject to the report**
- **Provide a copy of the notice submitted to the Coordinator to a staffing agency or healthcare services firm when the subject of the report is providing services to the health care entity pursuant to a contract with a staffing agency**

**A facility inquiring about a healthcare professional shall:**

- **Use the CN-9 which is available on the Department's website**
- **Use the CN-9 for making inquiries to health care entities other than facilities (ie agencies)**

**A facility receiving an inquiry about a healthcare professional shall:**

- **A facility that received a validly executed inquiry form shall complete and return the form and any other information to the inquiring health care entity within 8 business days of receipt of the inquiry form.**
- **A facility that received a validly executed written inquiry that was not on the CN-9, shall complete and return the required form within 8 business days of receipt of the inquiry and attach the written request to the response.**

## **A health care entity shall:**

- **Maintain records of all complaints of events related to patient care provided by, and disciplinary proceedings or actions against, a health care professional who the entity employs/employed or has/had an affiliation for a period of 7 years from the date of the occurrence that triggered the creation of the record.**

## **A health care entity shall:**

- **Maintain all records and source data relating to the entity's mortality, morbidity, complication, infection, and readmission rates that relate to a specific health care professional for a period of 4 years from the date of the occurrence that triggered the creation of the record.**



## **CIVIL MONETARY PENALTIES**

- **\$500 per violation may be assessed per day for not reporting to the Clearinghouse Coordinator**
- **\$250 per violation for failing to cooperate with a request for information**
- **\$1000 per violation for an entity failing to execute a joint report with a healthcare professional**

## **CIVIL MONETARY PENALTIES**

- **\$250 per violation may be assessed per day for failing to respond in a timely and/or truthful manner to an inquiry**
- **\$500 per violation may be assessed per day for failing to comply with a request for records**
- **\$1000 per violation for failing to maintain records**

**THANK YOU**

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